## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Masashi GOTO et al.

Title:

DIELECTRIC FILM, ITS FORMATION METHOD,

SEMICONDUCTOR DEVICE USING THE DIELECTRIC

FILM AND ITS PRODUCTION METHOD

Application No.:

**TBD** 

Filing Date:

2 December, 2003

Examiner/Unit:

Attorney Docket No.:

2204-11-3

## CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR, Section 1.10 and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 2<sup>nd</sup> day of December, 2003.

Tuddy Denby

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97 (as amended)
TO THE COMMISSIONER FOR PATENTS:

In compliance with Applicants' and their attorney's duty of disclosure under 37 CFR § 1.56, Applicants submit herewith patents, publications, or other information of which they are aware and which they believe may be material to the examination of this application.

While this Information Disclosure Statement may disclose "material" information pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless

specifically designated as such. In accordance with 37 CFR § 1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(b) exists.

Copies of the documents cited in the attached form are enclosed.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP

Stephen M. Evans

Attorney for Applicant Registration No. 37,128

155-108th Avenue N.E., Ste 350

Bellevue, WA 98004-5901

(425) 455-5575

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO			Complete if Known		
			Application Number	TBD	
INFO	RMATION I	DISCLOSURE	Filing Date	2 December, 2003	
STAT	<b>TEMENT BY</b>	APPLICANT	First Named Inventor	Masashi GOTO	
			Art Unit		
	(Use as many shee	ts as necessary)	Examiner Name		
Sheet		of	Attorney Docket Number	2204-11-3	

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No.1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear	
		Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document		
		US-				
		US-				
		US-				
		US-				
		US-				
		US-			•	
		US-				
		US-				
		US-				
		US-				
•		US-				
		US-				
		US-				
		US-			-	
	1	US-				
		US-		1		
		US-				
		US-	,			
		US-				
	1	US-				

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY			T <sup>6</sup>
	1	10-122661	10/12/99	Ueno Tomoo		
	2	11-375973	4/13/2001	Omi Tadahiro		
I	I					

Examiner		Date	
Signature	,	Considered	
o.g.rata.c		Combidered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.